

**Tradeview Europe Ltd.** (hereinafter referred to as the "**Company**"), is regulated by Malta Financial Services Authority (hereinafter referred to as the "**MFSA**"). The **Company** is committed to the highest standards of Anti-Money Laundering (**AML**) compliance and Counter-Terrorism Financing (**CTF**). The **Company** maintains a strong and ambitious position to prevent illegal money laundering activities and is committed to the following:

1. Continuously monitoring the activity of clients, partners and their transactions and making sure that they correspond to our knowledge of clients.
2. Making and safe keeping records on accounts, transactions, communications with clients and partners, gathered information, concerned internal matters and particular procedures.
3. Evaluating possible risk of money laundering while dealing with clients and transactions.
4. Applying enhanced rules in case of dealing with suspicious persons, trustees, politically exposed persons, clients from non-reputable jurisdictions and similar.
5. Regular staff trainings for employees, especially for those who deal directly with our clients.
6. Reporting any suspicious activity to the **MFSA**.
7. The **Company** adheres to the principles of Anti-Money Laundering and actively prevents any actions that aim to or facilitate the process of legalizing illegally gained funds. One of the ways this is achieved is by not accepting or remitting physical cash. The **Company** reserves the right to suspend any client's operation which, in our opinion, may be regarded as illegal or may be related to money laundering.

## Money Laundering

The process of converting funds, received from illegal activities (such as fraud, corruption, terrorism, etc.), into other funds or investments that look legitimate to hide or distort the real source of funds.

The **Company** adheres to the principles of Anti-Money Laundering and actively prevents any actions that aim or facilitate the process of legalizing or illegally gained funds. One of the ways this is achieved is by not accepting physical cash or paying physical cash out. The **Company** reserves the right to suspend any client's operation, which can be regarded as illegal or, may be related to money laundering in the opinion of the staff.

## Know Your Customer and Due Diligence

Because of the **Company's** commitment to the **AML** and **KYC** policies, all potential clients must complete the **Company's** verification procedure. Before the **Company** establishes a business relationship with any party, the **Company** will ensure that satisfactory evidence of the client's or counterparty's identity is received. The **Company** also applies heightened scrutiny to clients who are residents of and beneficial owners who are residents of and whose funds come from countries identified by credible sources as countries having inadequate **AML** standards or that may represent a high risk for crime and corruption.

## Monitoring of client activity

In addition to gathering information from the clients, the **Company** continues to monitor the activity of every client to identify and prevent any suspicious transactions. A suspicious transaction is known as a transaction that is inconsistent with the client's legitimate business or the usual client's transaction history known from client activity monitoring.

## Record keeping

Records must be kept of all transaction data and data obtained for the purpose of identification, as well as of all documents related to money laundering topics (e.g. files on suspicious activity reports, documentation of **AML** account monitoring, etc.). Those records are kept for a minimum of 5 years after the account is closed.

## Measures taken

In cases of an attempt to execute transactions which the **Company** suspects are related to money laundering or other criminal activity, it will proceed in accordance with the applicable law and report suspicious activity to the **MFSA**. The **Company** reserves the right to suspend any client's operation, which can be regarded as illegal or may be related to money laundering in the opinion of the staff. The **Company** has complete discretion to temporarily block the suspicious client account or terminate an existing client relationship.